EE 359: Wireless Communications Professor Andrea Goldsmith



Course Syllabus

- Overview of Wireless Communications
- Path Loss, Shadowing, and Fading Models
- Capacity of Wireless Channels
- Digital Modulation and its Performance
- Adaptive Modulation
- Diversity
- MIMO Systems
- Multicarrier Modulation and OFDM
- Multiuser Systems
- Cellular Systems

Wireless History

- Ancient Systems: Smoke Signals, Carrier Pigeons, ...
- Radio invented in the 1880s by Marconi
- Many sophisticated military radio systems were developed during and after WW2
- Exponential growth in cellular use since 1988: approx. 8 billion worldwide users today
 - Ignited the wireless revolution
 - Voice, data, and multimedia ubiquitous
 - Use in 3rd world countries growing rapidly
- WiFi also enjoying tremendous success and growth
- Bluetooth pervasive, satellites also widespread



Future Wireless Networks

Ubiquitous Communication Among People and Devices



Challenges

• Network/Radio Challenges

- Gbps data rates with "no" errors
- Energy efficiency
- Scarce/bifurcated spectrum
- Reliability and coverage
- Heterogeneous networks
- Seamless inter-network handoff

• Device/SoC Challenges

- Performance
- Complexity
- Size, Power, Cost
- High frequencies/mmWave
- Multiple Antennas
- Multiradio Integration
- Coexistance





Software-Defined (SD) Radio:

Is this the solution to the device challenges?



- Wideband antennas and A/Ds span BW of desired signals
- DSP programmed to process desired signal: no specialized HW Today, this is not cost, size, or power efficient
 SubNyquist sampling may help with the A/D and DSP requirements

"Sorry America, your airwaves are full*"



*CNN MoneyTech – Feb. 2012

What is the Internet of Things:



What is the Internet of Things:

- Enabling every electronic device to be connected to each other and the Internet
- Includes smartphones, consumer electronics, cars, lights, clothes, sensors, medical devices,...

• Value in IoT is data processing in the cloud

Different requirements than smartphones: low rates/energy consumption

Are we at the Shannon limit of the Physical Layer?

$C = B \log_2(1 + SNR)$

We are at the Shannon Limit

- "The wireless industry has reached the theoretical limit of how fast networks can go" *K. Fitcher, Connected Planet*
- "We're 99% of the way" to the "barrier known as Shannon's limit," *D. Warren, GSM Association Sr. Dir. of Tech.*

Shannon was wrong, there is no limit

- "There is no theoretical maximum to the amount of data that can be carried by a radio channel" *M. Gass, 802.11 Wireless Networks: The Definitive Guide*
- "Effectively unlimited" capacity possible via *personal* cells (pcells). *S. Perlman, Artemis.*

What would Shannon say?



We don't know the Shannon capacity of most wireless channels

- Time-varying channels.
- Channels with interference or relays.
- Cellular systems
- Ad-hoc and sensor networks
- Channels with delay/energy/\$\$\$ constraints.

Shannon theory provides design insights and system performance upper bounds Current/Next-Gen Wireless Systems

• Current:

- 4G Cellular Systems (LTE-Advanced)
- 4G Wireless LANs/WiFi (802.11ac)
- mmWave massive MIMO systems
- Satellite Systems
- Bluetooth
- Zigbee
- WiGig

• Emerging

- 5G Cellular and WiFi Systems
- Ad/hoc and Cognitive Radio Networks
- Energy-Harvesting Systems
- Chemical/Molecular

_ Much room For innovation

Spectral Reuse

Due to its scarcity, spectrum is *reused*

In licensed bands



Cellular

and unlicensed bands



WiFi, BT, UWB,...

Reuse introduces interference

Cellular Systems: Reuse channels to maximize capacity

- Geographic region divided into cells
- Freq./timeslots/codes/space reused in different cells (reuse 1 common).
- Interference between cells using same channel: interference mitigation key
- Base stations/MTSOs coordinate handoff and control functions
- Shrinking cell size increases capacity, as well as complexity, handoff, ...



4G/LTE Cellular

- Much higher data rates than 3G (50-100 Mbps)
 - 3G systems has 384 Kbps peak rates
- Greater spectral efficiency (bits/s/Hz)
 - More bandwidth, adaptive OFDM-MIMO, reduced interference
- Flexible use of up to 100 MHz of spectrum
 10-20 MHz spectrum allocation common
- Low packet latency (<5ms).
- Reduced cost-per-bit (not clear to customers)
- All IP network

5G Upgrades from 4G



Future Cellular Phones

Burden for this performance is on the backbone network



- Gbps rates, low latency, 99% coverage, energy efficiency

WiFi Networks Multimedia Everywhere, Without Wires



Wireless Local Area Networks (WLANs)



- WLANs connect "local" computers (100 m range)
- Breaks data into packets
- Channel access shared (random access + backoff)
- Backbone Internet provides best-effort service
 Poor performance in some apps (e.g. video)

Wireless LAN Standards

• 802.11b (Old – 1990s)

- Standard for 2.4GHz ISM band (80 MHz)
- Direct sequence spread spectrum (DSSS)
- Speeds of 11 Mbps, approx. 150 m range

• 802.11a/g (Middle Age- mid-late 1990s)

- Standard for 5GHz band (300 MHz)/also 2.4GHz
- OFDM in 20 MHz with adaptive rate/codes
- Speeds of 54 Mbps, approx. 30-60 m range

• 802.11n/ac/ax (current/next gen)

- Standard in 2.4 GHz and 5 GHz band
- Adaptive OFDM /MIMO in 20/40/80/160 MHz
- Antennas: 2-4, up to 8
- Speeds up to 1 Gbps (10 Gbps for ax), approx. 60 m range
- Other advances in packetization, antenna use, multiuser MIMO

Many WLAN cards have (a/b/g/n)

Why does WiFi performance suck?

Carrier Sense Multiple Access: if another WiFi signal detected, random backoff

Collision Detection: if collision detected, resend



APs do not transmit simultaneously

- The WiFi standard lacks good mechanisms to mitigate interference, especially in dense AP deployments
 - Multiple access protocol (CSMA/CD) from 1970s
 - Static channel assignment, power levels, and carrier sensing thresholds
 - In such deployments WiFi systems exhibit poor spectrum reuse and significant contention among APs and clients
 - Result is low throughput and a poor user experience
 - Multiuser MIMO will help each AP, but not interfering APs

Self-Organizing Networks for WiFi



- Channel Selection
- Power Control
- SoN-for-WiFi: dynamic self-organization network software to manage of WiFi APs.
- Allows for capacity/coverage/interference mitigation tradeoffs.
- Also provides network analytics and planning.

Satellite Systems

- Cover very large areas
- Different orbit heights
 - GEOs (39000 km) versus LEOs (2000 km)
- Optimized for one-way transmission
 - Radio (XM, Sirius) and movie (SatTV, DVB/S) broadcasts
 - Most two-way systems went bankrupt
- Global Positioning System (GPS) ubiquitous
 - Satellite signals used to pinpoint location
 - Popular in cell phones, PDAs, and navigation devices

Bluetooth



- Cable replacement RF technology (low cost)
- Short range (10 m, extendable to 100 m)
- 2.4 GHz band (crowded)
- 1 Data (700 Kbps) and 3 voice channels, up to 3 Mbps
- Widely supported by telecommunications, PC, and consumer electronics companies
- Few applications beyond cable replacement

IEEE 802.15.4/ZigBee Radios



- Complementary to WiFi and Bluetooth
- Frequency bands: 784, 868, 915 MHz, 2.4 GHz
- Data rates: 20 Kbps, 40 Kbps, 250 Kbps
- Range: 10-100 m line-of-sight
- Support for large mesh networking or star clusters
- Support for low latency devices
- CSMA-CA channel access
- Applications: light switches, electricity meters, traffic management, and other low-power sensors.

Spectrum Regulation

- Spectrum a scarce public resource, hence allocated
- Spectral allocation in US controlled by FCC (commercial) or OSM (defense)
- FCC auctions spectral blocks for set applications.
- Some spectrum set aside for universal use
- Worldwide spectrum controlled by ITU-R
- Regulation is a necessary evil.
 Innovations in regulation being considered worldwide in multiple cognitive radio paradigms

Standards

- Interacting systems require standardization
- Companies want their systems adopted as standard
 Alternatively try for de-facto standards
- Standards determined by TIA/CTIA in US
 - IEEE standards often adopted
 - Process fraught with inefficiencies and conflicts
- Worldwide standards determined by ITU-T
 In Europe, ETSI is equivalent of IEEE

Standards for current systems are summarized in Appendix D.

Advanced Topics Lecture

Emerging Systems

- New cellular system architectures
- mmWave/massive MIMO communications
- Software-defined network architectures
- Ad hoc/mesh wireless networks
- Cognitive radio networks
- Wireless sensor networks
- Energy-constrained radios
- Distributed control networks
- Chemical Communications
- Applications of Communications in Health, Biomedicine, and Neuroscience

Rethinking "Cells" in Cellular



How should cellular systems be designed for

- Capacity
- Coverage
- Energy efficiency
- Low latency
- Traditional cellular design "interference-limited"
 - MIMO/multiuser detection can remove interference
 - Cooperating BSs form a MIMO array: what is a cell?
 - Relays change cell shape and boundaries
 - Distributed antennas move BS towards cell boundary
 - Small cells create a cell within a cell
 - Mobile cooperation via relays, virtual MIMO, network coding.



Hundreds of antennas

mmWave Massive MIMO

Dozens of devices ←10s of GHz of Spectrum→ 60GHz 70/80GHz 70 80

Unlicensed 60GHz and Light Licensed E-Band

50

60

- mmWaves have large non-monotonic path loss
 - Channel model poorly understood



- For asymptotically large arrays with channel state information, no attenuation, fading, interference or noise
- mmWave antennas are small: perfect for massive MIMO
- Bottlenecks: channel estimation and system complexity
- Non-coherent design holds significant promise

Software-Defined Network Architectures



Ad-Hoc Networks



- Peer-to-peer communications
 - No backbone infrastructure or centralized control
- Routing can be multihop.
- Topology is dynamic.
- Fully connected with different link SINRs
- Open questions
 - Fundamental capacity region
 - Resource allocation (power, rate, spectrum, etc.)
 - Routing

Cognitive Radios



MIMO Cognitive Underlay



Cognitive Overlay

- Cognitive radios support new users in existing crowded spectrum <u>without degrading licensed users</u>
 - Utilize advanced communication and DSP techniques
 - Coupled with novel spectrum allocation policies
- Multiple paradigms
 - (MIMO) Underlay (interference below a threshold)
 - Interweave finds/uses unused time/freq/space slots
 - Overlay (overhears/relays primary message while cancelling interference it causes to cognitive receiver)

Wireless Sensor Networks Data Collection and Distributed Control



- Energy (transmit and processing) is the driving constraint
- Data flows to centralized location (joint compression)
- Low per-node rates but tens to thousands of nodes
- Intelligence is in the network rather than in the devices

Energy-Constrained Radios

- Transmit energy minimized by sending bits slowly
 - Leads to increased circuit energy consumption
- Short-range networks must consider both transmit and processing/circuit energy.
 - Sophisticated encoding/decoding not always energyefficient.
 - MIMO techniques not necessarily energy-efficient
 - Long transmission times not necessarily optimal
 - Multihop routing not necessarily optimal
 - Sub-Nyquist sampling can decrease energy and is sometimes optimal!



- Batteries and traditional charging mechanisms
 - Well-understood devices and systems
- Wireless-power transfer
 - Poorly understood, especially at large distances and with high efficiency
- Communication with Energy Harvesting Radios
 - Intermittent and random energy arrivals
 - Communication becomes energy-dependent
 - Can combine information and energy transmission
 - New principles for radio and network design needed.

Distributed Control over Wireless



Interdisciplinary design approach

- Control requires fast, accurate, and reliable feedback.
- Wireless networks introduce delay and loss
- Need reliable networks and robust controllers
- Mostly open problems : Many design challenges

Chemical Communications



- Can be developed for both macro (>cm) and micro (<mm) scale communications
- Greenfield area of research:
 - Need new modulation schemes, channel impairment mitigation, multiple access, etc.

Applications in Health, Biomedicine and Neuroscience





Neuroscience -Nerve network (re)configuration -Electroencephalogram (EEG)/Electrocorticogram (ECoG) signal processing - Signal processing/control for deep brain stimulation - SP/Comm applied to bioscience

Recovery from Nerve Damage









ECoG Epileptic Seizure Localization



Main Points

- The wireless vision encompasses many exciting applications
- Technical challenges transcend all system design layers
- 5G networks must support higher performance for some users, extreme energy efficiency and/or low latency for others
- Cloud-based software to dynamically control and optimize wireless networks needed (SDWN)
- Innovative wireless design needed for 5G cellular/WiFi, mmWave systems, massive MIMO, and IoT connectivity
- Standards and spectral allocation heavily impact the evolution of wireless technology